

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>	ATTORNEY DOCKET NO. 1075.1251	APPLICATION NO.
	FIRST NAMED INVENTOR Hiroki OOI, et al.	
	FILING DATE February 20, 2004	GROUP ART UNIT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
DSK	AA	6,229,935	5/8/01				
DSK	AB	2002/0015207 A2 A1	2/7/02				
DSK	AC	2001/0046077 A1	11/29/01				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	
DSK	AG	JP 2000-68931	3/3/00	Japan			Abst	
DSK	AH	HEI 9-153859	6/10/97	Japan			Abst	
DSK	AI	JP 2003-298516	10/17/03	Japan			Full	
DSK	AJ	JP 2002-77053	3/15/02	Japan			Abst	
DSK	AK	JP 2002-57622	2/22/02	Japan				X
DSK	AL	2001-339345	12/7/01	Japan				X

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

DSK	AM	M. Shirasaki, et al., "Variable Dispersion Compensator Using the Virtually Imaged Phased Array (VIPA) for 40-GBIT/S WDM Transmission Systems", ECOC 2000, 26th European Conference on Optical Communication, Proceedings, Vol. 5, Plenary Papers and Tutorials Post-Deadline Papers, September 3, 2000.
DSK	AN	J.A.J. Fells, et al., "Twin Fibre Grating Adjustable Dispersion Compensator for 40 GBIT/S," ECOC 2000, 26th European Conference on Optical Communication, Proceedings, Vol. 5, Plenary Papers and Tutorials Post-Deadline Papers, September 3, 2000.
	AO	

EXAMINER /David S. Kim/	DATE CONSIDERED 10/16/2006
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR Hiroki OOI, et al.	
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
DSK	BA	6,661,974	12/9/03				
DSK	BB	6,320,687	11/20/01				
DSK	BC	6,411,416	6/25/02				
DSK	BD	5,717,510	2/10/98				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
DSK	BG	2000-236299	8/29/00	Japan			X
DSK	BH	WO99/28723	6/10/99	EPO			X
DSK	BI	HEI 11-88261	3/30/99	Japan			X
DSK	BJ	EP 0 902560A2	3/17/99	EPO			
DSK	BK	HEI 11-88260	3/30/99	Japan			X
DSK	BL	HEI 11-68657	3/9/99	Japan			X
DSK	BM	HEI 8-321805	12/3/96	Japan			X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	BM	
	BN	
	BO	

EXAMINER /David S. Kim/	DATE CONSIDERED 10/16/2006
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EXPLANATIONS OF RELEVANCY OF REFERENCES	ATTORNEY DOCKET NO. 1075.1251	APPLICATION NO.
	FIRST NAMED INVENTOR Hiroki OOI, et al.	
	FILING DATE February 20, 2004	GROUP ART UNIT

Reference AB (U.S. Patent Publication No. 2002/0015207 A2) is a US application corresponding to Reference AK.
 Reference AC (U.S. Patent Publication No. 2001/0046077 A1) is a US application corresponding to Reference AL.
 Reference BA (U.S. Patent No. 6,661,974) is a US patent corresponding to Reference BG.

U.S. Application Serial No. 09/359,112 listed on Attachment 1F is a corresponding U.S. Application to Reference BH.

Reference BJ (EP 0 902560A2) is a European application corresponding to Reference BI.
 Reference BB (U.S. Patent 6,320,687) is a US patent corresponding to Reference BK.
 Reference BC (U.S. Patent 6,411,416) is a US patent corresponding to Reference BL.
 Reference BD (U.S. Patent 5,717,510) is a US patent corresponding to Reference BM.

ATTACHMENT 1(f)

LIST OF COPENDING APPLICATIONS	ATTORNEY DOCKET NO.	APPLICATION NO.
	1075.1251	
	FIRST NAMED INVENTOR	
	Hiroki OOI, et al.	
	FILING DATE	GROUP ART UNIT
	February 20, 2004	

The following, prior-filed, copending U.S. patent application(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of the identified copending application(s) is provided.

It is requested that the Examiner acknowledge his consideration of application(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

U.S. PATENT APPLICATION DOCUMENTS

*EXAMINER INITIAL		U.S. SERIAL NO.	FILING DATE	NAME	ASSIGNEE
DSK	1	09/359,112	July 23, 1999	Hiroki OOI, et al.	Fujitsu Limited
	2				
	3				
	4				
	5				
	6				
	7				
	8				

EXAMINER /David S. Kim/	DATE CONSIDERED 10/16/2006
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1075.1251

APPLICATION NO.

10/781,783

FIRST NAMED INVENTOR

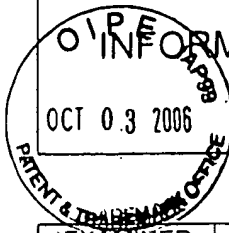
Hiroki OOI, et al.

FILING DATE

February 20, 2004

GROUP ART UNIT

~~2603~~ 2613



INFORMATION DISCLOSURE STATEMENT

OCT 03 2006

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
DSK	AG	CN 2517184Y	10/16/2002	China	Page 4 and Figs. 1-3.		X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

DSK	AM	China Patent Office Action issued July 14, 2006 for corresponding Chinese Patent Application No. 200410003188.1.	X	
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